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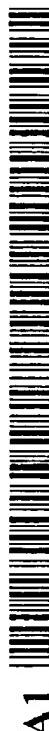
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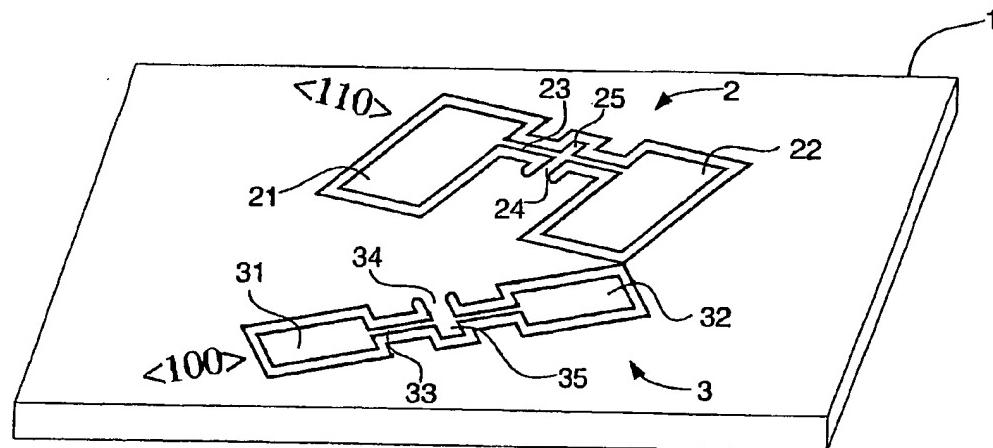
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(54) Title: INTEGRATED RESONATORS AND TIME BASE INCORPORATING SAID RESONATORS

(54) Titre : RESONATEURS INTEGRES ET BASE DE TEMPS INCORPORANT DE TELS RESONATEURS



**WO 2004/102796 A1**



(57) Abstract: The invention relates to a resonator unit, integrated in a monocrystalline silicon substrate (1), for the production of a temperature-stable time base, comprising at least one first (2) and one second (3) resonator, for oscillation according to different modes and with dimensions such that at least the first thermal coefficient of the frequency difference  $\alpha$  is equal to or close to zero. The second thermal coefficient  $\beta$  can also be significantly reduced.

(57) Abrégé : L'invention concerne un ensemble de résonateurs, intégrés dans un substrat de silicium monocristallin (1) et destinés à permettre la réalisation d'une base de temps stable en température, et comprend au moins un premier (2) et un deuxième (3) résonateurs prévus pour osciller selon des modes de types différents et avec des dimensions telles qu'au moins le premier coefficient thermique de la différence de leur fréquence  $\alpha$  est égal ou proche de zéro. Le deuxième coefficient thermique  $\beta$  peut également être fortement réduit.



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*En ce qui concerne les codes à deux lettres et autres abréviations, se référer aux "Notes explicatives relatives aux codes et abréviations" figurant au début de chaque numéro ordinaire de la Gazette du PCT.*

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**Integrated resonators and time base incorporating said  
resonators**

The present invention relates to resonators in general  
5 and more particularly to integrated resonators made of  
single-crystal silicon, allowing the production of a  
temperature-stable time base, and to a time base produced  
with such resonators.

10 Quartz is certainly the material most widely used for the  
fabrication of resonators as this is one of the rare  
known crystals that allow the first thermal coefficient  
of the frequency to be canceled out, at room temperature,  
by a suitable choice of the cut angles of the resonators.  
15 In addition, it is also possible to compensate for the  
thermal drift, due to the higher-order coefficients, by  
adapting the very geometry of these resonators. Finally,  
the quartz is also piezoelectric, allowing direct  
excitation of the chosen vibration modes. Although quartz  
20 remains a material of choice for the production of  
resonant structures, there is, however, a growing demand  
for integrating such structures into a silicon substrate  
- the material used for integrated circuits and for an  
increasing number of structures of the MEMS (micro-  
25 electromechanical systems) type.

An example of a resonator integrated into a  
single-crystal silicon substrate may be found in European  
patent application EP 0 795 953. The thermal coefficients  
30 of the frequency of such a resonator are, respectively,  
around -30 ppm (parts per million or  $10^{-6}$ )/°C for the  
first-order coefficient  $\alpha$  and -13 ppb (parts per billion  
or  $10^{-9}$ )/°C<sup>2</sup> for the second-order coefficient  $\beta$ . To  
compensate for them, it has been proposed to use a  
35 thermometer, integrated into the same substrate, which  
acts on a frequency adjustment circuit. Not only does  
such a compensation method involve calibration of the

resonator/oscillator combination after fabrication, but in addition its precision depends on that of the integrated thermometer, which is far from ideal, in particular if the ageing effects are considered.

5

Thus, it is an object of the present invention to produce resonators which are integrated into a single-crystal silicon substrate and the thermal drift of which may be compensated in a simple and precise manner.

10

One subject of the invention is a set of resonators that are integrated in a single-crystal silicon substrate and intended to allow a temperature-stable time base to be produced, characterized in that it comprises at least first and second resonators designed to oscillate in modes of different type and with dimensions such that at least the first thermal coefficient of their frequency difference is equal or close to zero.

20

According to another feature of the invention, the second thermal coefficient of the frequency difference is also made close to zero by a given orientation of the resonators in the silicon substrate.

25

Thanks to these features, the thermal compensation is obtained by the frequency difference of two resonators oscillating in modes of different type, it being possible for this difference to be made independent of the temperature.

30

The set of resonators according to the invention also possesses all or some of the features mentioned below:

- said first resonator is designed to oscillate in an elongation mode;

35

- said second resonator is designed to oscillate in a surface shear mode;

- said first and second resonators each have a

symmetrical structure formed by a central arm joining two rectangular plates, said resonators being able to be held in the middle part of said central arms;

5       - said resonators include piezoelectric excitation means;

      - said piezoelectric excitation means comprise an AlN layer deposited on said central arms and electrodes for contacting said AlN layer;

10      - the silicon substrate is doped and constitutes one of the electrodes for said piezoelectric excitation means.

Other objects, features and advantages of the present invention will become apparent on reading the following 15 description, given by way of non limiting example and in conjunction with the appended drawings in which:

      - figure 1 shows a set of two resonators according to the invention that are produced in a single-crystal silicon wafer of {001} orientation;

20      - figures 2.a and 2.b show the variations in the first and second thermal coefficients, respectively, of the resonators of figure 1 as a function of the orientation of these resonators;

25      - figure 3 shows the geometry of the AlN layers and of the electrodes deposited on the resonator 3 of figure 1;

      - figure 4 shows a sectional view of the resonator of figure 3; and

30      - figure 5 is an example of a circuit for extracting the frequency difference of the resonators of the invention.

The two resonators 2 and 3 of figure 1 oscillate in modes called "contour modes". This means that they take the 35 form of thin plates vibrating in their plane and the frequency of which is independent of the thickness of said plates. Their structure corresponds to two

rectangular plates 21, 22, 31, 32 joined by a central arm 23, 33, which is itself connected to the single-crystal silicon substrate 1 via an attachment arm 24, 34. A rectangular region 25, 35, located in the extension of 5 and opposite the attachment arm, has the purpose of making each entire resonator symmetrical and, consequently, making its deformations symmetrical by counterbalancing the evanescence in the embedding region, and to do so for the purpose of achieving high quality 10 factors. In the example described, the resonator 2 is designed to oscillate in a Lamé mode - the shear wave associated with it propagating along the diagonals of the squares inscribed within the plates 21 and 22 - and it is oriented along the <110> direction of the substrate, 15 whereas the resonator 3, with its longitudinal axis aligned with the <100> direction of the substrate, is designed to oscillate with its central arm 33 in an elongation mode.

20 According to the invention, the thermal compensation is achieved by the frequency difference of two resonators oscillating in different modes. The frequency of the resonator 2 may be expressed in the form:

$$F_1 = F_{10}(1 + \alpha_1 \Delta T + \beta_1 \Delta T^2 + \gamma_1 \Delta T^3 + \dots),$$

25 where  $F_{10}$  is the natural frequency of the resonator 2,  $\Delta T$  is the temperature variation and  $\alpha_1$ ,  $\beta_1$  and  $\gamma_1$  are the respective first-order, second-order and third-order thermal coefficients of the frequency  $F_1$ .

30 The frequency of the resonator 3 may likewise be expressed in the form:

$$F_2 = F_{20}(1 + \alpha_2 \Delta T + \beta_2 \Delta T^2 + \gamma_2 \Delta T^3 + \dots),$$

where  $F_{20}$  is the natural frequency of the resonator 3,  $\Delta T$

is the temperature variation and  $\alpha_2$ ,  $\beta_2$  and  $\gamma_2$  are the respective first-order, second-order and third-order thermal coefficients of the frequency  $F_2$ .

- 5 The frequency difference  $F_{12}$  may therefore be written as

$$F_{12} = F_1 - F_2 = (F_{10} - F_{20})(1 + \alpha \Delta T + \beta \Delta T^2 + \gamma \Delta T^3 + \dots)$$

where:

$$\alpha = \frac{F_{10} \alpha_1 - F_{20} \alpha_2}{F_{10} - F_{20}},$$

$$\beta = \frac{F_{10} \beta_1 - F_{20} \beta_2}{F_{10} - F_{20}},$$

10 and

$$\gamma = \frac{F_{10} \gamma_1 - F_{20} \gamma_2}{F_{10} - F_{20}}.$$

The first thermal coefficient is therefore compensated by setting:

$$F_{10} \alpha_1 - F_{20} \alpha_2 = 0$$

15 i.e.

$$\frac{F_{10}}{F_{20}} = \frac{\alpha_2}{\alpha_1},$$

the second thermal coefficient then being equal to:

$$\beta = \frac{\alpha_2 \beta_1 - \alpha_1 \beta_2}{\alpha_2 - \alpha_1}$$

- 20 The above equation shows that  $\beta$  is better controlled the greater the difference between  $\alpha_1$  and  $\alpha_2$ . To optimize the way in which the canceling-out of first thermal coefficient  $\alpha$  of the frequency difference  $F_{12}$  is controlled, the vibration modes of the two resonators 2  
25 and 3 are chosen in such a way that the first-order thermal coefficients that are associated with them are also as different as possible from each other. Thus,

according to an advantageous variant of the invention, the vibration mode of the first resonator is a surface shear mode, subtended by a Lamé mode, whereas the vibration mode of the second resonator is an elongation mode. The precision of the first thermal coefficient  $\alpha$  depends on the ratio of the frequencies of the two resonators, i.e. on a dimensional ratio of the resonators and not on a ratio of their absolute dimensions. Since the two resonators are produced on the same substrate, this first thermal coefficient is in fact largely insensitive to underetching effects or to cutting errors.

The expression for the second thermal coefficient  $\beta$  of the frequency difference  $F_{12}$  shows that this can be canceled out, or greatly reduced, by choosing a  $\beta_1/\beta_2$  ratio equal to, or close to, the ratio  $\alpha_1/\alpha_2$ . This condition may be met by a judicious choice of the orientations of the two resonators. Figures 2.a and 2.b show, for the two vibration modes chosen, the variations in the first and second thermal coefficients  $\alpha_1$  and  $\alpha_2$ ,  $\beta_1$  and  $\beta_2$ , respectively, as a function of the orientations of the resonators. Although the first-order thermal coefficients vary little with orientation, the same does not apply to the second-order coefficients, and it may be seen that the condition indicated above can be met when the orientations of the resonators make an angle of about 45° with each other, the shear and elongation waves then propagating along the <100> direction.

The planar structures, with balanced evanescence regions, and the envisaged vibration modes of the resonators make it possible to obtain high quality factors. This makes it possible to produce low-consumption time bases (resonators and oscillators). Moreover, in order to greatly attenuate the coupling with the lower-frequency vibration modes, the resonator 2 may be produced by having masses 21 and 22 in the form of a stack of (at

least two) square plates without, however, this modifying the frequency of the Lamé mode. This is one property of Lamé modes that can be put to advantage in order to increase the efficiency of the resonator/oscillator  
5 combination.

The resonators may be excited, in a known manner, by a coupling of the electrostatic type or piezoelectric type. According to an advantageous variant of the invention,  
10 the resonators are excited by a piezoelectric effect, for example via a layer of aluminum nitride (AlN). As indicated in figure 3 showing, for example, the resonator 3, the piezoelectric coupling is achieved via an AlN layer 40 deposited in the central region of the arm, at  
15 the point where the elongation deformations are the highest. This rectangular zone of about 225 µm x 950 µm is extended along the attachment arm 24 by means of a thin strip 41 as far as a connection zone 42, having sides of about 120 µm, and to which a connection wire can  
20 be soldered. As shown in the sectional view of figure 4, along the axis A-A of figure 3, the aluminum nitride layer 40 is covered with an aluminum layer 43, which layer is also deposited directly on the substrate in order to form the pads 45 for connection to said  
25 substrate. If the silicon forming the substrate were not to be doped, it would be necessary to provide a second electrode between the substrate and the aluminum nitride layer. This second electrode is preferably made of platinum, a material that lends itself particularly well  
30 to the growth of aluminum nitride. Figure 4 also shows the fact that the substrate is in fact a silicon wafer 10 whose lower face is made of silicon oxide. Such wafers, called SOI (silicon-on-insulator) wafers, already have the desired thickness. As was mentioned previously, the  
35 thickness of the resonators is a relatively free parameter, which is determined depending on the application. Thus, a large thickness makes it possible to

have a high impact strength and reduced coupling with other vibration modes out of the plane, whereas a small thickness allows strong piezoelectric coupling, and therefore low consumption of the oscillator. By way of a  
5 non limiting example, the resonators have a thickness of about 50  $\mu\text{m}$ .

The steps in the fabrication of the resonators are given below by way of non limiting example:

- 10     • Deposition, by sputtering, of a platinum (Pt) film about 100 nm thick on the upper face (A) of the silicon substrate;
- 15     • structuring of the platinum film, by photolithography and plasma etching, in order to produce the first electrodes;
- 20     • deposition by sputtering of an aluminum nitride layer (a few  $\mu\text{m}$  in thickness);
- 25     • deposition by sputtering of an aluminum film (about 100 nm thick) and selective machining of this film in order to produce the second electrodes;
- 30     • etching of the AlN layer in order to define the piezoelectric excitation zones;
- 35     • rapid plasma etching (or deep reactive ion etching) of the face A in order to define the geometry of the resonators;
- 40     • optionally, cutting of the resonators by sawing; and
- 45     • creation of a vacuum and connection of the resonators to their associated circuit.

As an indication, the parameters of the resonators are given below:

For the resonator 2:

- 45     - dimensions of the plates: 2  $\times$  1 mm;
- 50     - length of the central arm: 1 mm;
- 55     - frequency:  $\approx$  4 MHz.

For the resonator 3:

- overall length: 2.5 mm;
- length of the central arm: 1.2 mm;
- frequency:  $\approx$  1 MHz.

5 An example of a circuit for delivering a temperature-stable frequency using the resonators described above is shown schematically in figure 5. The block 200 represents the combination of the resonator 2 and the oscillator associated therewith and the block 300 represents the  
10 combination of the resonator 3 and the oscillator associated therewith. The block 200 delivers a signal at the frequency  $F_1$  and the block 300 delivers a signal at the frequency  $F_2$ , the frequency  $F_1$  being, in the example described in which the two resonators have similar  
15 dimensions, higher than the frequency  $F_2$  (about 4 times higher). The frequency  $F_1$  is therefore divided by a frequency divider circuit 400, which delivers a signal at the frequency  $F_1/N$ , where  $N$  is an integer (equal to 4 in the example in question), which represents the division  
20 ratio of the divider circuit 400. The signals output by the block 300 and the divider circuit 400 are applied to the circuit 500, which delivers the difference  $F_2 - F_1/N$ . As indicated above, this frequency difference is independent of the temperature variation and can  
25 therefore be used to produce a precise, stable and integrated time base, this being able to be used in many applications, in particular in portable applications.

Although the present invention has been described in  
30 relation to particular embodiment examples, it will be understood that it is capable of modifications or variants without thereby departing from its scope. Thus, although silicon was adopted for the present description, the resonators of the invention could be produced in  
35 other single crystals. Likewise, the chosen vibration modes must be considered merely as non limiting examples.

- 10 -

CLAIMS

1. A set of resonators that are integrated in a single crystal (1) and intended to allow a temperature-stable time base to be produced, characterized in that it comprises at least first (2) and second (3) resonators designed to oscillate in modes of different type and with dimensions such that at least the first thermal coefficient  $\alpha$  of their frequency difference is equal or close to zero.

2. The set of resonators as claimed in claim 1, characterized in that said single crystal is a silicon single crystal.

15 3. The set of resonators as claimed in claim 1 or claim 2, characterized in that said first and second resonators are oriented at an angle such that the second thermal coefficient  $\beta$  of said frequency difference is equal or close to zero.

4. The set of resonators as claimed in one of claims 1 to 3, characterized in that said first resonator (2) is designed to oscillate in an elongation mode.

25 5. The set of resonators as claimed in one of claims 1 to 4, characterized in that said second resonator (3) is designed to oscillate in a Lamé mode.

30 6. The set of resonators as claimed in any one of the preceding claims, characterized in that said first and second resonators each have a symmetrical structure formed by a central arm (23, 33) joining two rectangular plates (21, 22 and 31, 32), said resonators being able to be held in the middle part (24, 34) of said central arms.

35 7. The set of resonators as claimed in any one of the

preceding claims, characterized in that said resonators include piezoelectric excitation means.

8. The set of resonators as claimed in claim 7,  
5 characterized in that said piezoelectric excitation means comprise an AlN layer (40) deposited on said central arms and electrodes (43, 45) for contacting, on the one hand, said AlN layer and, on the other hand, the silicon substrate.

10

9. The set of resonators as claimed in claim 8, characterized in that the silicon substrate is doped and constitutes one of the electrodes for said piezoelectric excitation means.

15

10. A temperature-compensated time base, characterized in that it comprises a set of resonators as claimed in any one of the preceding claims, means (200, 300) for exciting and sustaining their oscillations and means (400, 500) for generating a temperature-stable signal representative of the difference in oscillation frequencies of said resonators.

11. The time base as claimed in claim 10,  
25 characterized in that one of the two resonators has a much higher oscillation frequency than the other, and said means for generating a temperature-stable signal further include a frequency divider circuit (400) for reducing the highest frequency before said difference  
30 in the oscillation frequencies is taken.

- 12 -

#### ABSTRACT

The invention relates to a set of resonators integrated in a single-crystal silicon substrate (1) and intended  
5 to allow the production of a temperature stable time base, and comprises at least a first (2) and a second (3) resonators designed to oscillate in modes of different type and with dimensions such that at least the first thermal coefficient  $\alpha$  of their frequency  
10 difference is equal or close to zero. The second thermal coefficient  $\beta$  may also be highly reduced.

Figure for abstract: Fig. 1

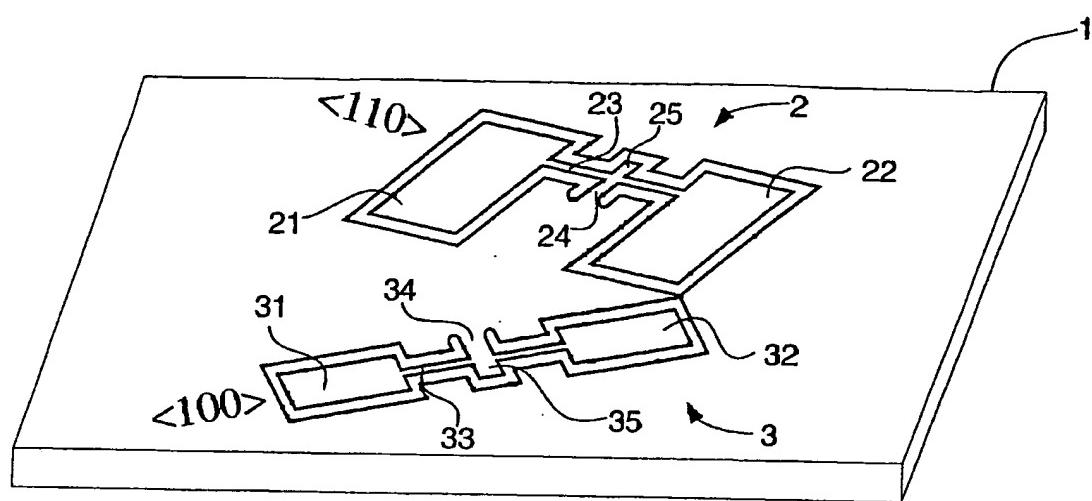


Fig. 1

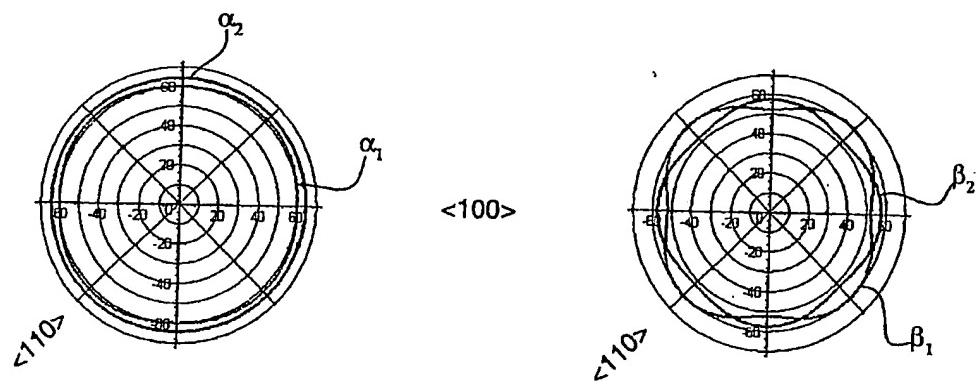


Fig. 2.a

Fig. 2.b

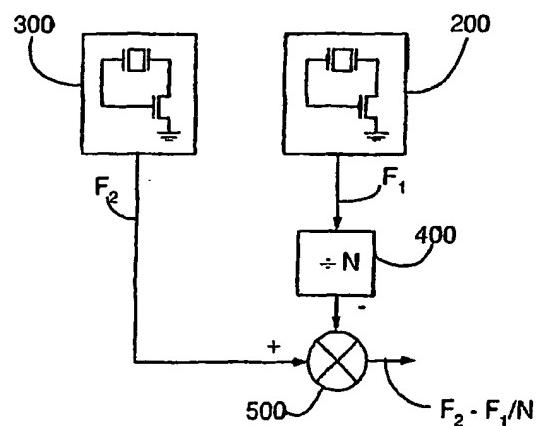
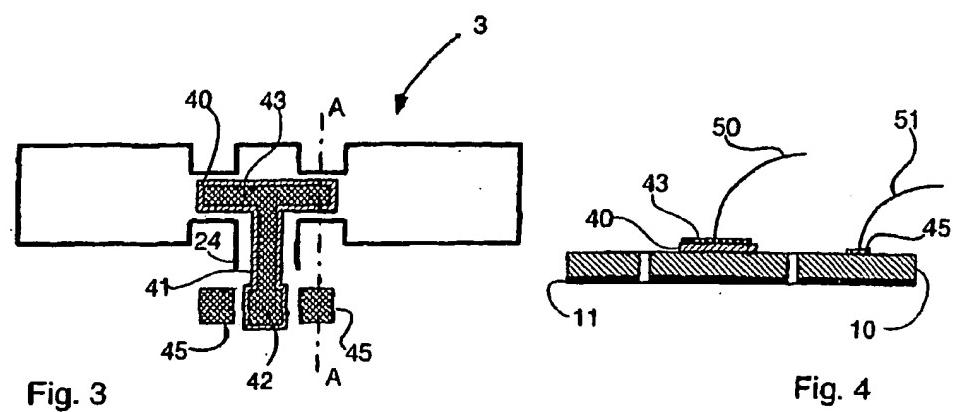


Fig. 5